Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. PS904 UTILITY ROSEN et al. First Inventor PATENT APPLICATION 621 Human Secreted Proteins Title TRANSMITTAL Express Mail Label Nos. EH853035122US (Box 1 of 2) and (Only for new nonprovisional applications under 37 CFR ' 1.53(b)) EH853035051US (Box 2 of 2) Commissioner for Patents Box Patent Application PO Box 1450 ADDRESS TO: APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. Alexandria, VA 22313-1450 CD-ROM or CD-R in duplicate, large table or Computer 1. ☐ Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. 2. (if applicable, all necessary) See 37 CFR 1.27. a. Computer Readable Form (CRF) b. Specification Sequence Listing on: 3. 🔯 Specification [Total Pages 4247 1 i. CD-ROM or CD-R (1 copy); and (preferred arrangement set forth below)
- Descriptive title of the Invention ii. Daper [Total Pages 1461] Statements verifying identity of above copies Cross Reference to Related Applications c. 🔯 Statement Regarding Fed sponsored R & D - Statement Regarding Peeu sponsored K & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description ACCOMPANYING APPLICATION PARTS Assignment Papers (cover sheet and document(s)) - Claim(s)
- Abstract of the Disclosure 10. 37 CFR 3.73(b) Statement ☐ Power of Attorney 4. Drawing(s) (35 U.S.C. 113) [Total Sheets 5. Unexecuted Declaration [Total Pages 12.

Information Disclosure Statement/ Form PTO/SB/08 ☐ Copies of Citations a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63(d)) 13. Preliminary Amendment (for continuation/divisional with Box 17 completed) 14. X Return Receipt Postcard (MPEP 503) i. DELETION OF INVENTOR(S) (should be specifically itemized) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR ' ' 1.63(d)(2) and 1.33(b). 15. Certified copy of Priority Document(s) (if foreign priority is claimed) Application Data Sheet. See 37 CFR 1.76. (35 pages) Other: Serial Number Post card; and Transmittal Letter under 37 C.F.R. § 1.52. 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation ___ Divisional of prior application No: PCT/US02/08123 Prior application information: Examiner Group/Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the the accompanying continua submitted application parts. 18. CORRESPONDENCE ADDRESS 22195 Correspondence address below Customer Number or Bar Code Label NAME ADDRESS ZIP CODE CITY STATE TELEPHONE **COUNTRY** Registration No. (Attorney/Agent) NAME (Print/Type) Janet M. Martineau 46,903 SIGNATURE Date September 20, 2003 © 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required ormation Officer, U.S. Patent and Trademark Office, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS Patents, Box Patent Application, Washington, DC 20231. to complete this form should be sent to the Chief In ADDRESS. SEND TO: Assistant Commissioner is

Complete (if applicable)

Date

(301) 315-2723

September 20, 2003

PTO/SB/17 (01-03) Approved for use through 04/30/2003. OMB 0651-0032 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of in nformation unless it displays a valid OMB control number Complete if Known FEE TRANSMITTAL Not Yet Assigned Application Number for FY 2003 Filing Date Concurrently Herewith Rosen et al First Named Inventor Patent fees are subject to annual revision Not Yet Assigned Examiner Name Applicant claims small entity status. See 37 CFR 1.27 Not Yet Assigned Group Art Unit PS904 TOTAL AMOUNT OF PAYMENT \$906.00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Money 3. ADDITIONAL FEES Check Other None x Deposit Account Large Entity Small Entity Denosit Fee Code 08-3425 Fee Fee Account Fee Description (\$) Code (\$) Fee Paid Number Deposit 1051 130 2051 65 Surcharge - late filing fee or oath Human Genome Sciences, Inc. Name Surcharge - late provisional filing fee or cover 1052 50 2052 25 The Commissioner is hereby authorized to: (check all that apply) sheet. X Credit any overpayments 130 1053 Charge fee(s) indicated below 1053 Non-English specification Charge any additional fee(s) during the pendency of this 1812 2,520 1812 2,520 For filing a request for ex parte reexamination Requesting publication of SIR prior to Examiner 1804 920* 1804 Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR after to the above-identified deposit account 1805 1.840 1805 1,840* Examiner action **FEE CALCULATION** 1251 110 2251 55 Extension for reply within first month 1252 410 2252 1. BASIC FILING FEE Extension for reply within second month Large Entity Small Entity 1253 930 2253 Extension for reply within third month Fee Fee Fee Fee Description 1254 1,450 2254 725 Extension for reply within fourth month Code (\$) (\$) Fee Paid Utility filing fee 1255 1,970 2255 985 Extension for reply within fifth month 1001 750 2001 375 750.00 1002 330 2002 165 Design filing fee 1401 320 2401 160 Notice of Appeal 2003 1402 320 2402 1003 520 260 Plant filing fee 160 Filing a brief in support of an appeal 1403 280 2403 750 2004 375 Reissue filing fee Request for oral hearing 1004 140 1005 2005 Provisional filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable SUBTOTAL (1) 750.00 1453 1,300 2453 650 Petition to revive - unintentional 1501 1,300 2501 650 Utility issue fee (or reissue) 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1502 470 2502 Design issue fee Fee Paid Claims below Total Claims 24 -20** = \$72.00 630 2503 315 Plant issue fee 1503 4 18.00 Independent 1460 130 1460 130 Petitions to the Commissioner \$84.00 1807 50 1807 Processing fee under 37 CFR 1.17(q) \$156.00 Multiple Dependent 1806 180 1806 180 Submission of Information Disclosure Stmt Large Entity Small Entity Recording each patent assignment per 40 8021 40 Fee Description 8021 (\$) (\$) Code Code property (times number of propertie 1202 2202 Claims in excess of 20 Filing a submission after final rejection 18 1809 750 2809 375 (37 CFR 1.129(a)) 1201 84 2201 42 Independent claims in excess of 3 For each additional invention to be 750 2810 1810 1203 280 2203 140 Multiple dependent claim, if not paid examined (37CFR 1.129(b)) 1204 84 2204 42 ** Reissue independent claims 1801 750 2801 375 Request for Continued Examination (RCE) over original patent Request for expedited examination 1802 900 1802 of a design application 1205 2205 Reissue claims in excess of 20 18 and over original patent Other fee (specify) SUBTOTAL (2) (\$) *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00 \$156.00 **or number previously paid, if greater; For Reissues, see above

Registration No

46.903

SUBMITTED BY

Name (Print/Type)

Signature

Janet M. Martineau

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ROSEN et al.

Application Serial No.: to be assigned Group Art Unit: to be assigned

Filed: Concurrently herewith Examiner: to be assigned

For: 621 HUMAN SECRETED PROTEINS Attorney Docket No.: PS904

Transmittal Letter under 37 C.F.R. § 1.52

Mail Stop Sequence Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants hereby submit a CD-ROM containing the sequence listing for the above-identified application. Applicants note the following:

- 1. IBM-PC machine format;
- 2. MS-Windows operating system compatibility;
- 3. Sequence listing for PS905 (3.48 MB), created on September 18, 2003.

Applicants have also submitted a courtesy copy of the sequence listing on paper, which Applicants certify is identical to the CRF submitted herein.

Respectfully submitted,

Dated: September 20, 2003

M. Martineau (Reg. No. 46,903)

Attorney for Applicants

Human Genome Sciences, Inc.

9410 Key West Avenue Rockville, MD 20850 Telephone: (301) 315-2723

KKH/JMM

RETURN RECEIPT CARD

(MPEP § 503)

OIPE:	Please Date Stamp In The Box Below And Return To Addressee

Application of:

Rosen et al.

Docket No.: PS904

Application Serial No.: Not Yet Assigned

Art Unit: N/A

Filed: Concurrently Herewith

Examiner: Not Yet Assigned

Title: 621 Human Secreted Proteins

The following documents were filed by Human Genome Sciences, Inc. via Express Mail in two (2) boxes on September 20, 2003:

- 1. Return Receipt Postcard
- 2. Serial Number Postcard to be stamped and returned
- 3. Fee Transmittal Sheet, charging \$906 to deposit account (in duplicate)
- 4. Utility Patent Application Transmittal Letter (1 page)
- 5. Specification (4247 pps total: 24 claims-4 pp; abstract-1 page)
- 6. Unexecuted Declaration for Utility Patent Application w/ ADS (2 pages)
- 7. Application Data Sheet (35 pages)
- 8. Statement under 37 C.F.R. § 1.821 and Transmittal Letter under 37 C.F.R. § 1.52 w/ copy of Sequence Listing in paper (1461 pp) and computer readable form (1 copy of CD-R disk).

KKH/JMM

Express Mail Label Nos. EH853035122US (Box 1 of 2) and EH853035051US (Box 2 of 2) Dated: September 20, 2003